



CITY OF LODI

COUNCIL COMMUNICATION

AGENDA TITLE: Ratification of San Joaquin County of Governments' Annual Financial Plan for Fiscal Year 1998/99.

MEETING DATE: May 20, 1998

PREPARED BY: Finance Director


RECOMMENDED ACTION: That the City Council ratify the San Joaquin County Council of Governments' (COG's) Financial Plan for fiscal year 1998/99.

BACKGROUND INFORMATION: Attached is a copy of COG's annual financial plan for fiscal year 1998/99, adopted by the COG Board on April 23, 1998.

Pursuant to the COG's Joint Powers Agreement, following adoption of the budget by COG's Board, a copy is delivered to Lodi, and other member agencies, for ratification by each governing body.

COG staff will be present to answer any questions the Council may have.

FUNDING: None


Vicky McAthie
Finance Director

attachment

cc: Transportation Manager
SJCOG - Steve Dial

APPROVED:


H. Dixon Flynn -- City Manager



San Joaquin County Council of Governments

Member Agencies: Cities of Escalon, Lathrop, Lodi, Manteca, Ripon, Stockton, Tracy, County of San Joaquin

April 30, 1998

Mr. Dixon Flynn
City Of Lodi
P.O. Box 3006
Lodi, CA 95241-1910

Dear Mr. Flynn:

Enclosed is a summary of the San Joaquin Council of Governments' Annual Financial Plan (AFP) for Fiscal Year 1998/99. Pursuant to the COG's Joint Powers Agreement, following adoption of the budget by our Board, it is to be delivered to member agencies for ratification by each governing body. The Board unanimously adopted the Plan on April 23, 1998. A copy of the adopting resolution is enclosed.

Therefore, I request that you place the COG's Annual Financial Plan for Fiscal Year 1998/99 on a forthcoming agenda prior to June 30, 1998 for your Council's approval.

The Annual Financial Plan implements the FY 1998/99 Unified Work Program (UWP) also adopted by the COG Board on April 23, 1998. The UWP continues COG's commitment to:

1. Maintaining Air Quality Conformity
2. Delivery of Measure K
3. Research and Data Center
4. Habitat Planning
5. Transportation Modeling
6. Regional Transportation Plan/Strategic Investment Planning
7. Congestion Management Planning
8. Local Assistance
9. Intergovernmental Coordination/Cooperation

The enclosed summary identifies revenues and expenditures anticipated for the

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year including both operating and pass-through revenues and expenditures. Pass-through are funds over which COG has fiduciary responsibility such as Transportation Development Act, ISTEA and the majority of Measure K funds. The summary compares the FY 98/99 with the current year's adopted budget (as amended on April 23, 1998) and the FY 1996/97 final budget.

REVENUES

COG operating revenues are 6.4% lower than the currently adopted FY 97/98 budget or approximately \$160,000 less. The current year includes \$325,000 budgeted for the Arch Road/SR 99 interchange project funded by the City of Stockton. This work is completed.

EXPENDITURE LINE ITEMS WITH SIGNIFICANT CHANGE

The Salaries and Benefits group increases 3.3% reflecting full staffing for the entire year and 3% for potential cost of living adjustments (as yet to be determined by the Board). There are no additional staff being requested.

Services and Supplies increases 7.1%. The majority of this increase is in the **Communications** area where Internet access charges and Freeway Service Patrol cell phones charges are reflected. This accounts for a \$9,000 annual increase in communications costs.

In addition **Transportation and Travel**, which contains training expenses, is \$8,700 higher as a result of the Computer Training Incentive Program approved by the Board In February, 1998. This training program is budgeted at \$15,000, therefore the remaining Transportation and Travel account is **reduced** by \$6,300.

Professional Services decreases 36.0% due to the completion of the Arch Rd./SR 99 project for the City of Stockton.

Fixed Assets are increased from \$15,100 to \$20,000. We will continue to upgrade our computer equipment and also purchase a new plotter.

Unallocated/Reserve is budgeted at \$64,653. These funds can be used as a contingency account and/or be transferred to a reserve account at the end of the fiscal year, as has been done in previous years.

Overall, expenditures are 6.4% lower than the currently adopted budget.

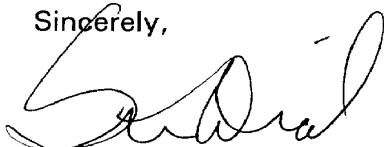
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COG staff would be pleased to appear before your policymakers to answer any questions they might have regarding this matter.

Please let me know when this will be appearing before the Council. If you have any questions regarding this matter, don't hesitate to contact me at 468-3913.

Thank you for your assistance.

Sincerely,

A handwritten signature in black ink, appearing to read "Steve Dial", with a stylized flourish at the end.

STEVE DIAL

Director, Administrative Services/
Chief Financial Officer

San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
(Adopted April 23, 1998)
Fiscal Year 98/99

CHAIR

David Ennis, Mayor, City of Escalon

VICE-CHAIR

Gary Podesto, Mayor, City of Stockton

BOARD OF DIRECTORS

Councilmember Phillip Pennino	City of Lodi
Councilmember Richard Nickerson, MD	City of Stockton
Vice Mayor Jose Hernandez	City of Lathrop
Mayor Dan Bilbrey	City of Tracy
Councilmember John Harris	City of Manteca
Supervisor Robert Cabral	County of San Joaquin
Supervisor Ed Simas	County of San Joaquin
Councilmember Jack DeSelle	City of Ripon

EX OFFICIO DIRECTORS

Mark Leja	Caltrans District 10
Martha Jean Shaver	San Joaquin Regional Transit District
Ron Coale	Port of Stockton

SUBMITTED BY:

Steve Dial
Director, Administrative Services/
Chief Financial Officer

San Joaquin County Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 1998/99

REVENUES	FY 96-97 Final	FY 97/98 Amend#2(4/23/97)	FY 98/99 Adopted 4/23/98
Federal Grants	\$945,454	\$956,801	\$1,072,300
State Grants	\$154,174	\$187,300	\$221,300
Local	\$808,473	\$1,343,000	\$1,049,900
Interest	\$6,850	\$20,000	\$0
Other	\$50,000	\$50,000	\$50,000
COG OPERATING REVENUES	\$1,964,951	\$2,557,101	\$2,393,500
Federal Pass-Through	\$6,539,000	\$6,539,000	\$6,539,000
State Pass-Through	\$756,300	\$816,473	\$965,421
Local Pass-Through	\$33,779,000	\$36,709,000	\$37,697,360
Sales Tax Revenue Bonds	\$0	\$65,000,000	\$65,000,000
TOTAL COG REVENUE	\$43,039,251	\$111,621,574	\$112,595,281
EXPENDITURES			
Salaries & Benefits	\$1,240,145	\$1,314,677	\$1,357,767
Services & Supplies	\$314,406	\$391,880	\$419,780
Office Expense	\$69,800	\$97,300	\$100,700
Communications	\$16,000	\$26,000	\$35,000
Memberships	\$18,250	\$18,420	\$18,720
Maintenance - Equipment	\$10,500	\$25,000	\$25,000
Rents & Leases - Equipment	\$53,900	\$72,700	\$76,700
Transportation & Travel	\$38,600	\$39,400	\$48,100
Motor Pool-R/S Incentive-Bus Passes	\$1,450	\$2,500	\$2,500
Allocated Service Cost	\$4,100	\$4,100	\$4,100
Publications & Legal Notices	\$4,000	\$2,000	\$2,000
Insurance	\$18,306	\$21,000	\$22,000
Building Maintenance	\$1,500	\$2,000	\$3,500
Rents - Structures & Grounds	\$74,000	\$76,460	\$76,460
Education Reimbursement	\$4,000	\$5,000	\$5,000
Professional Services	\$342,400	\$831,000	\$531,300
Fixed Assets	\$35,400	\$15,100	\$20,000
Unallocated/Reserve	\$32,600	\$4,444	\$64,653
COG OPERATING EXPENDITURES	\$1,964,951	\$2,557,101	\$2,393,500
Pass-Through	\$41,074,300	\$109,064,473	\$110,201,781
TOTAL COG EXPENDITURES	\$43,039,251	\$111,621,574	\$112,595,281
Excess (Deficit) Revenues	\$0	(\$0)	\$0
Over Expenditures (Operating)			

RESOLUTION
SAN JOAQUIN COUNTY COUNCIL OF GOVERNMENTS



R-98-56

**RESOLUTION APPROVING THE ADOPTION OF THE 1998-99
UNIFIED WORK PROGRAM AND THE FY 1998/99 ANNUAL FINANCIAL PLAN
FOR THE SAN JOAQUIN COUNCIL OF GOVERNMENTS**

WHEREAS, annually the San Joaquin Council of Governments acting as the Metropolitan Planning Agency, and the Regional Transportation Planning Agency for San Joaquin County adopts a Unified Work Program, and

WHEREAS, that Unified Work Program identifies the funding sources that the agency will utilize in the coming year, and the work tasks and products that the agency will produce, and

WHEREAS, this Unified Work Program must be submitted to the required funding agencies for their review and approval, and

WHEREAS, the Council of Governments must assure that the planning tasks identified are in compliance with all State and Federal laws and regulations, and are coordinated with all effected agencies, and communities on a regional basis, and

WHEREAS, it is also essential that the COG prepare a budget, constrained by the resources available, to carry out and fund the work scheduled in the fiscal year.

NOW THEREFORE BE IT RESOLVED that the San Joaquin Council of Governments adopts the attached 1998-99 Unified Work Program and self-certifies the following:

The San Joaquin Council of Governments hereby certifies its planning process to be in accordance with all applicable requirements of the Federal government, as follows:

1. Section 134 of Title 23, U.S.C. Section 8 of the Federal Transit Act (49 U.S.C. app. 1607) and 23 CFR 450 Subpart C;
2. Sections 174 and 176© and (d) of the Clean Air Act (42 U.S.C. 7504, 7506 © and (d));
3. Title VI of the Civil Rights Act of 1964 and the Title VI assurance executed by each State under 23 U.S.C. 324 and 29 U.S.C 794;
4. Section 1003(b) of the Intermodal Surface Transportation Efficiency Act to 1991 (Pub. L. 102-240) regarding the involvement of disadvantaged business enterprises in the FHWA and the FTA funded planning process (Sec.105(f), Pub. L. 97-424, 96 Stat. 2100, 49 CFR Part 23); and
5. The provisions of the Americans with Disabilities Act of 1990 (Pub. L. 101-336, 104 Stat. 327, as amended) and USDOT regulations "Transportation for Individuals With Disabilities" (49 CFR Parts 27, 37, and 38).

Resolution #R-98-56

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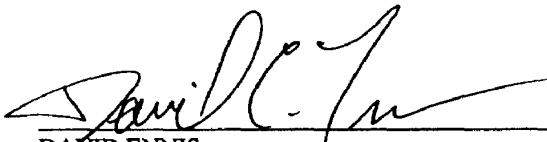
BE IT FURTHER RESOLVED that the San Joaquin County Council of Governments does hereby approve the fiscal year 1998/99 budget and forward such to its member governments for their review and ratification.

PASSED AND ADOPTED this 23RD day of April, 1998 by the following vote of the San Joaquin Council of Governments, to wit:

AYES: Harris, Manteca; Bilbrey, Tracy; Barber, San Joaquin County; Rhodes, Lathrop; DeSelle, Ripon; Nickerson, Stockton; Cabral, San Joaquin County; Pennino, Lodi; Podesto, Stockton; Ennis, Escalon.

NOES:
None

ABSENT:
None



DAVID ENNIS
Chairman